**Assisted Living Residence (ALR)**

**Annual Survey**

**Offsite Preparation and Resident Sample Selection**



**Offsite Preparation:**

1. Determine Licensure Status for LSC (F1/F2)\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Notes:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. Determine Medication Services (M1/M2)\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
2. Determine if have Special Care Unit and/ or Limited Health Services.

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1. Review survey history
2. Review Complaints/ Incidents
3. Review variances for residents to possibly include in sample
4. Review other relevant information (e.g. any recent food protection reports)
5. **Contact Ombudsman at start of survey**

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| Notes |
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**Sample Selection:**

Resident sample size for a Full Modified Survey is based on the resident census on the first day of the survey. Use the chart below to determine resident sample size:

|  |  |
| --- | --- |
| **Resident Census** | **Resident Sample Size (# of Records to be Reviewed)** |
| **6 - 80** | **3** |
| **81 and Greater** | **5** |

Select a diversified resident sample based on different levels of services being provided. The following criteria should be considered when selecting the resident sample to be reviewed:

• Residents receiving Hospice services.

• Residents living in a Special Care Unit (SCU).

• Residents receiving Limited Health Services.

• Residents currently receiving outside healthcare services.

• Residents that currently have a variance granted from the Department for continued outside healthcare services (any resident receiving healthcare services over the 45 day limit).

• Current residents that were admitted receiving outside healthcare services.

• Residents with a history of multiple falls.

*\*\*\* If Incident/Complaints are also being investigated during the Annual Survey,*

*then those identified residents should also be added to the sample selection. This may result in the sample selection expanding beyond the numbers indicated in the chart above.*

*A full record review is only required for the required sample size. Any additional residents added to the sample size should be reviewed only for the focus area(s) being investigated.*